## PTO/SB/05 (03-01) Attorney Docket No. 0756-7270 UTILITY Yuji KAWASAKI et al. First Inventor PATENT APPLICATION INFORMATION PROCESSING SYSTEM Title TRANSMITTAL (Only for new nonprovisional applications under 37 CFR 1.53(b)) Express Mail Label No. Commissioner for Patents **APPLICATION ELEMENTS** ADDRESS TO: **Box Patent Application** Washington, DC 20231 See MPEP chapter 600 concerning utility patent application contents. 7. CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix) (Submit an original and a duplicate for fee processing) Applicant claims small entity status. 8. Nucleotide and/or Amino Acid Sequence Submission See 37 CFR 1.27. (if applicable, all necessary) [Total Pages 26] 3. Specification (preferred arrangement set forth below) a. Computer Readable Form (CRF) Descriptive title of the invention b. Specification Sequence Listing on: Cross Reference to Related Applications (if applicable) Statement Regarding Fed sponsored R & D (if applicable) i. CD-ROM or CD-R (2 copies; or ii. 🔲 paper Reference to sequence listing, a table, or a computer program listing appendix (if applicable) c. Statements verifying identity of above copies Background of the Invention Brief Summary of the Invention ACCOMPANYING APPLICATION PARTS Brief Description of the Drawings (if filed) **Detailed Description** 9. Assignment Papers (cover sheet & document(s)) Claim(s) Power of 10. 37 CFR 3.73(b) Statement Abstract of the Disclosure (when there is an assignee) Attorney 4. Drawing(s) (35 U.S.C. 113) [Total Sheets 7] ☐ Copies of IDS 12. X Information Disclosure Statement (IDS)/PTO-1449 Citations [Total Pages 2] 5. Oath or Declaration **Preliminary Amendment** a. Newly executed (original or copy) 14. X Return Receipt Postcard (MPEP 503) (Should be specifically itemized) b. Copy from a prior application (37 CFR 1.63(d)) 15. Certified Copy of Priority Document(s) (for continuation/divisional with Box 18 completed) (if foreign priority is claimed) **DELETION OF INVENTOR(S)** 16. Nonpublication request under 35 U.S.C. Signed statement attached deleting inventor(s) 122(b)(2)(B)(i). Applicant must attach form named in the prior application, see 37 CFR PTO/SB/35 or its equivalent. 1.63(d)(2) and 1.33(b) 17. Other: 6. Application Data Sheet. See 37 CFR 1.76 18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76: Divisional of prior Application Serial No. 09/382,676 filed August 25, 1999, issuing as U.S. Patent No. 6,707,484 on March 16, 2004, which is a divisional application of Application Serial No. 08/505,947 filed July 24, 1995, now U.S. Patent No. 6,307,948 Group / Art Unit: 2643 Examiner: M. Ramakrishnaiah Prior application information: For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts. 19. CORRESPONDENCE ADDRESS Correspondence address below 31780 Customer Number or Bar Code Label Eric J. Robinson Name Robinson Intellectual Property Law Office **Address** PMB 955 21010 Southbank Street 20165 Zip Code City Potomac Falls State Virginia 571-434-9499 571-434-6789 Fax Telephone Country USA

Eric J. Robinson

Name (Print/Type)

Signature

Registration No. (Attorney/Agent)

38,285

Date

March 15, 2004

Approved for use through 07/31/2006. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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| ]   | FOR | FY | 20 | 04 |    |

Effective 10/01/2003. Patent fees are subject to annual revision. ☐ Applicant Claims small entity status. See 37 CFR 1.27.

TOTAL AMOUNT OF PAYMENT

| Complete if Known    |                      |  |  |  |
|----------------------|----------------------|--|--|--|
| Based On             | 09/382,676           |  |  |  |
| Filed                | 08/25/1999           |  |  |  |
| First Named Inventor | Yuji KAWASAKI et al. |  |  |  |
| Examiner Name        | M. Ramakrishnaiah    |  |  |  |
| Group Art Unit       | 2643                 |  |  |  |
| Attorney Docket No.  | 0756 7070            |  |  |  |

| TOTAL AMOUNT OF PATIMENT (3)1026.00                                       | Attorney Docket No. 0730-7270   |          |  |  |  |
|---|---|----------|--|--|--|
| METHOD OF PAYMENT   | FEE CALCULATION (continued)   |          |  |  |  |
| 1. The Commissioner is hereby authorized to charge indicated              | 3. ADDITIONAL FEES  |          |  |  |  |
| fees and credit any overpayments to:                                      | Large Small<br>Entity Entity  |          |  |  |  |
| Deposit   | Entity Entity<br>Fee Fee Fee Fee  |          |  |  |  |
| Account   50-2280   |   | Fee Paid |  |  |  |
| Number  | 1051 130 2051 65 Surcharge – late filing fee or oath  |          |  |  |  |
| Deposit   | 1052 50 2052 25 Surcharge – late provisional filing fee or cover sheet  |          |  |  |  |
| Account Robinson Intellectual Property                                    | 1053 130 1053 130 Non-English specification   |          |  |  |  |
| Name Law Office   | 1005 100 1005 150 Non-English specification   |          |  |  |  |
|   | 1812 2,520 1812 2,520 For filing a request for ex parte reexamination   |          |  |  |  |
| Charge Any Additional Fee Required  | 1804 920* 1804 920* Requesting publication of SIR prior to Examiner action  |          |  |  |  |
| Under 37 CFR 1.16 and 1.17 and credit overpayments                        | 1805 1,840* 1805 1,840* Requesting publication of SIR after Examiner action   |          |  |  |  |
|   | 1251 110 2251 55 Extension for reply within first month   |          |  |  |  |
| Applicant claims small entity status.                                     | 1251 110 2251 35 Extension for reply within that month  |          |  |  |  |
| See 37 CFR 1.27   | 1252 420 2252 210 Extension for reply within second month   |          |  |  |  |
| 2. X Payment Enclosed:  | 1253 950 2253 465 Extension for reply within third month  |          |  |  |  |
| Check Credit Card Money Other   | 1254 1,480 2254 740 Extension for reply within fourth month   |          |  |  |  |
| Order FEE CALCULATION   | 1255 2,010 2255 1005 Extension for reply within fifth month   |          |  |  |  |
|   | 1401 330 2401 165 Notice of Appeal  |          |  |  |  |
| BASIC FILING FEE     Large Entity   | 1402 330 2402 165 Filing a brief in support of an appeal  |          |  |  |  |
| Fee Fee Fee Fee Description   | I   |          |  |  |  |
| Code (S) Code (S) Fee Paid  | ļ <u>'                                   </u>   |          |  |  |  |
| 1001 770 2001 385 Utility filing fee \$770                                | 1451 1,510 1451 1,510 Petition to institute a public use proceeding   |          |  |  |  |
| 1002 340 2002 170 Design filing fee                                       | 1452 110 2452 55 Petition to revive unavoidable   |          |  |  |  |
| 1003 530 2003 265 Plant filing fee  | 1453 1,330 2453 665 Petition to revive – unintentional  |          |  |  |  |
| 1004 770 2004 385 Reissue filing fee                                      | 1501 1,330 2501 665 Utility issue fee (or reissue)  |          |  |  |  |
| 1005 160 2005 80 Provisional filing fee                                   | 1502 480 2502 240 Design issue fee  |          |  |  |  |
|   | 1503 640 2503 320 Plant issue fee   |          |  |  |  |
| SUBTOTAL (1) (\$) 770.00  | 1460 130 1460 130 Petitions to the Commissioner   |          |  |  |  |
| 2. EXTRA CLAIM FEES   | 1807 50 1807 50 Processing fee under 37 CR 1.17(q)  |          |  |  |  |
| Fee from  | 1806 180 1806 180 Submission of Information Disclosure Stmt   |          |  |  |  |
| Total Claims 20 -20** = 0 X \$18 = 0.00                                   | 8021 40 8021 40 Recording each patent assignment per property (times number of properties)  |          |  |  |  |
| Independent 6 -3** = 3 X \$86 = \$258.00 Claims                           | 1809 770 2809 385 Filing a submission after final rejection (37 CFR § 1.129(a))   |          |  |  |  |
| Multiple Dependent =  | 1810 770 2810 385 For each additional invention to be examined (37 CFR § 1.29(b))   |          |  |  |  |
| Large Entity Small Entity<br>Fee Fee Fee Fee Fee Description              | 1801 770 2801 385 Request for Continued Examination (RCE)   |          |  |  |  |
| Code (\$) Code (\$)   | 1802 900 1802 900 Request for expedited examination of a design application   |          |  |  |  |
| 1202 18 2202 9 Claims in excess of 20                                     | Other fee (specify) Certificate of Correction   |          |  |  |  |
| 1201 86 2201 43 Independent claims in excess of 3                         |   |          |  |  |  |
| 1203 290 2203 145 Multiple dependent claim, if not paid                   | • Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$)  |          |  |  |  |
| 1204 86 2204 43 ** Reissue independent claims over<br>original patent     |   |          |  |  |  |
| 1205 18 2205 9 ** Reissue claims in excess of 20 and over original patent | CERTIFICATE OF MAILING  I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, |          |  |  |  |
| SUBTOTAL (2) (\$) 258.00  | sufficient postage as first class mail in an envelope addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on   |          |  |  |  |
| **or number previously paid, if greater; For Reissues, see above          |   |          |  |  |  |
| SUBMITTED BY  | Complete (if applicable)  |          |  |  |  |

| SUBMITTED BY      |                  |                                   |        | Complete (if   | applicable)    |
|-------------------|------------------|-----------------------------------|--------|----------------|----------------|
| Name (Print/Type) | Eric J. Robinson | Registration No. (Attorney/Agent) | 38,285 | Telephone      | (571) 434-6789 |
| Signature         | 2-6              |                                   | Date   | March 15, 2004 |                |